

TEST REPORT ANSI/CAN/UL 9540A:2019

Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems

Report Reference No...... 221000882SHA-001

Tested by (name + signature).....: Chuanhui Xie

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Robin Xu

Total number of pages: 36

Date of issue 2023-01-18

Testing Laboratory: Intertek Testing Services Shanghai

Address...... Building No.86, 1198 Qinzhou Road (North), Shanghai 200233, China

Testing location/ procedure: Witness testing

Testing location/ address: No. 158, Changbangcun Road, Fengxian District, Shanghai

Applicant's name Shenzhen Lithium Valley Technology Co., Ltd.

Address...... Room 2018, Huilong Business Center Minzhi Street, Longhua District,

Shenzhen, Guangdong P.R.China

Test specification:

Standard : ANSI/CAN/UL 9540A:2019 (Fourth Edition) + UL CRD's

Test procedure.....: Module level test (clause 8.1-8.4)

Non-standard test method.....: N/A

Test Report Form No. ANSI/CAN/UL 9540A_Module

Test Report Form(s) Originator: Intertek

Master TRF: Dated 2022-01

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Test item description.....: Rechargeable Li-ion battery

Trade Mark...... Lithium alley

Manufacturer.....: Dongguan Lithium Valley Energy Co., Ltd.

Model/Type reference: LV-BAT-R5.12Ab Ratings: 51.2 V, 100 Ah

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List of attachments:
Attachment 1 – Photos
Attachment 2 – Module Conditioning (charge/discharge) profiles
Attachment 3 – Thermal runaway record
Attachment 4 – Temperature and voltage profile during thermal runaway
Attachment 5 – Chemical heat release rate measurement
Attachment 6 – Gas generation measurement
Attachment 7 – Smoke release rate measurement
Attachment 8 – Equipment list
Test video 20221208-1.mp4 is provided in addition to this test report.
Summary of testing:
Thermal runaway Propagation Yes
Peak chemical heat release rate HRR (kW) 2.23 kW
Peak smoke release rate SRR (m²/s) 0.37 m²/s
Total smoke release TSR (m²) 64.67 m²
Total Hydrocarbons (equivalent to C₃H ₈ , measured by FID): 74.7 L
Module weight loss 7.6 kg
Conclusion:
Thermal runaway is contained by module design, but cell vent gas is flammable as determined by the cell level test. According to the standard, a unit level testing in accordance with UL 9540A need to be conducted on a unit employing this module.
Possible test case verdicts:
- test case does not apply to the test object: N/A
- test object was not evaluated for the requirement: N/E
- test object does meet the requirement
- test object does not meet the requirement Fail (F)
Testing:
Date of receipt of test items 2022-10-14
Date(s) of test performaned 2022-12-07 to 2022-12-08
General remarks:
"(see Attachment #)" refers to additional information appended to the report.
"(see appended table)" refers to a table appended to the report.
The tests results presented in this report relate only to the object tested.
This report shall not be reproduced except in full without the written approval of the testing laboratory.
List of test equipment must be kept on file and available for review.
Additional test data and/or information is provided in the attachments to this report.
Throughout this report a \square comma / \boxtimes point is used as the decimal separator.
Determination of the test results includes consideration of measurement uncertainty from the test equipment and

methods.



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Product information: Cell inforamtion Manufacturer....: RUIPU ENERGY CO., LTD. Model name: CB27173204EA- 100Ah LiFePO₄ Chemistry.....: Physical configuration..... Prismatic Dimension (W*L*H): (207.01±0.6) mm * (174.7±0.6) mm * (27.5±1.0) mm Weight....: 2100±100 g Nominal voltage: 3.2 V Rated capacity....: 100 Ah If the cell compliance with UL 1973.....: Report No. CN212RU5 001 Standard charge method Charge current.....: 100 A End of charge voltage...... 3.65 V Cut off current....: Standard discharge method Discharge current: End of discharge voltage 2.5 V Test result from cell level 9540A test report Cell level test report.....: Report No. CN21GRDU 001 (TUV Rheinland) Average cell venting temperature: 209.4 °C Average cell thermal runaway onset temperature....: 270.7 °C Gas volume....: 280L H₂:52.934%, CO:8.665%, CO₂:22.801%, Gas composition: Hydrocarbon: 15.6% LFL at ambient temperature: 5.6% at 24±2°C and 108±2kPa LFL cell venting temperature: 4.5% at 200±2°C and 108±2kPa Burning velocity: 83.6 cm/s

1.015MPa

P_{max}:



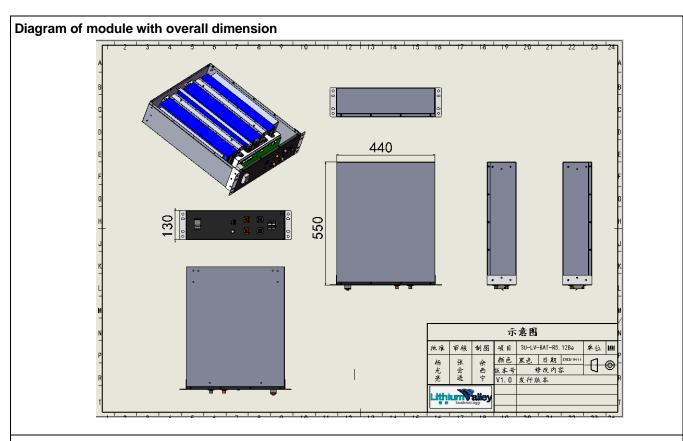
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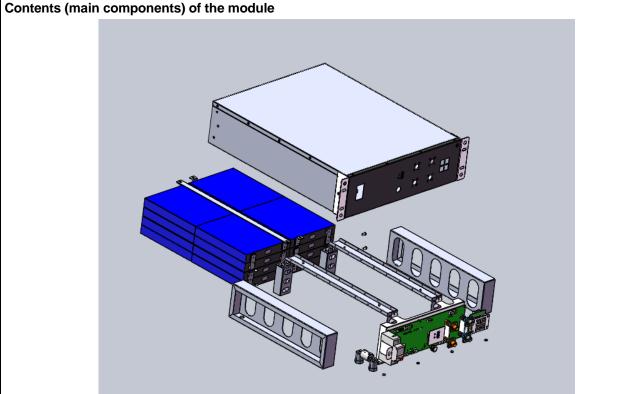
Module information Manufacturer.....: Dongguan Lithium Valley Energy Co., Ltd. Fuzhu 4th Street, Zhangyang community, Zhangmutou Address.....: town Dongguan City, 523637 Guangdong P.R.China LV-BAT-R5.12Ab Model name: Physical configuration Enclosure material..... Metal Dimension....: 440 mm*550mm*130mm Weight.....: 47 kg Cells in series/parallel:: 16S1P Total number of cells:: 16 cells Cooling method....: Nature cooling Separation between cells: No separation. **Electrical rating** Rated capacity: 100 Ah Rated energy: 5120 Wh Nominal voltage: 51.2 V Standard charge method Charge current....:: 33 A 56.16 V End of charge voltage....: Standard discharge method Discharge current: 33 A End of discharge voltage: 44.8 V If the module compliance with UL 1973: Certificate not provided.



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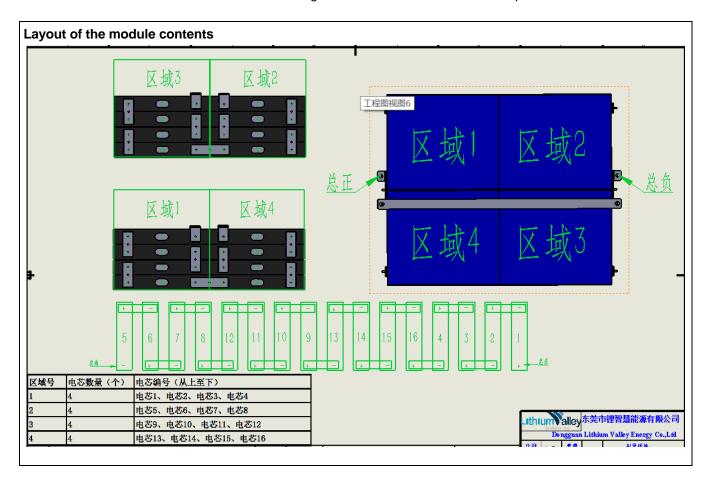






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Photo of the module







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ANSI/CAN/UL 9540A Requirement - Test Result - Remark Verdict Clause 5 Constrution - General 5.1 Cell 5.1.1 The cell info associated with the BESS includes: **Pass** LFP Pass cell chemistry (e.g. NMC, LFP); Pass Prismatic the physical format of the cell; the cell electrical rating in capacity and nominal 100Ah, 3.2V **Pass** voltage; **Pass** the overall dimensions of the cell, and weight. The cells associated with the BESS comply with Report No. CN212RU5 001 Pass 5.1.2 ANSI/CAN/UL 1973 or not. 5.1.3 Further details are included in the cell level test report. Pass 5.2 Module --5.2.1 The modules info associated with the BESS includes: **Pass** Metal **Pass** the generic enclosure material; the general layout of the module contents; **Pass** the electrical configuration of the cells in the 16S1P **Pass** modules and the modules in the BESS. The modules associated with the BESS comply with 5.2.2 Pass Certificate not provided. UL 1973 or not. Further details are included in the module level test 5.2.3 Refer to 8.3 **Pass** report. 5.3 Battery energy storage system unit 5.3.1 The BESS unit info includes: N/A the units comply with UL 9540 or not; N/A the manufacturer and model number; N/A electrical ratings; N/A N/A energy capacity of all BESS. 5.3.2 For BESS units, which UL 9540 compliance cannot be determined, to include: N/A N/A the number of modules in the BESS; N/A electrical configuration of the module; physical layout of the modules in the BESS; N/A battery management system (BMS); and N/A N/A other major components of the BESS; the BESS enclosure overall dimensions and N/A generic material; battery system(s) may be tested as representative N/A of the BESS; battery system complies with UL 1973 or not. N/A Any fire detection and suppression systems that are an 5.3.3 N/A integral part of the BESS.



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	ANSI/CAN/UL 9540A				
Clause	Requirement – Test	Result - Remark	Verdict		
5.3.4	Further details included in the unit level and if applicable, installation level test reports.		N/A		
5.4	Flow Batteries				
5.4.1	For flow batteries, to include the following info:		N/A		
	the chemistry;		N/A		
	a generic description of the electrolyte (s);		N/A		
	the overall dimensions of the individual stack;		N/A		
	the electrical rating in capacity and nominal voltage of the cell stack.		N/A		
	And the Information of the complete flow battery system	:	N/A		
	the manufacturer's name and model number of the system;		N/A		
	the electrical rating in volts and rated storage capacity in Ah or Wh;		N/A		
	the number of cells and stacks in the system;		N/A		
	the maximum volume of electrolyte(s) for the system.		N/A		
5.4.2	The flow battery system complies with UL 1973 or not.				
5.4.3	Further details included in the flow battery thermal runaway determination level test report.		N/A		
6	Performance – General				
6.1	The tests in this standard are extreme abuse conditions conducted on electrochemical energy storage devices, which may result in various kind of hazards.		Pass		
6.2	At the conclusion of testing, samples discharged in accordance with the manufacturer' specifications.		Pass		
	All samples disposed of in accordance with local regulations.		Pass		
8	Moudle Level		_		
8.1	Sample	,			
8.1.1	Module samples shall be conditioned, prior to testing, through charge and discharge cycles for a min. of 2 cycles, to verify that the module is functional.	See attachment 2	Pass		
8.1.2	The module shall be charged to 100% SOC and allowed to rest a maximum of 8 h before the start of the test.		Pass		
8.1.3	Electronics and software controls such as the battery management system (BMS) are not relied upon for this testing.	BMS protections disabled during the testing	Pass		
8.2	Test method				
8.2.1	Ambient indoor laboratory conditions 25±5°C and 50±25% RH at the initiation of the test.	See attachment 3	Pass		



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	ANSI/CAN/UL 9540A				
Clause	Requirement – Test	Result - Remark	Verdict		
8.2.2	The test conducted under a smoke collection hood sized appropriately to collect the gasses generated.		Pass		
8.2.3	The weight of the module shall be recorded before and after testing is completed.	See attachment 3	Pass		
8.2.4	The number of cells within the module that are forced into thermal runaway.	1	Pass		
8.2.5	The methodology used for initiating thermal runaway for cells are used to initiate thermal runaway within the module.	See attachment 3	Pass		
8.2.6	Occurrence of thermal runaway shall be verified by sustained temperature above the cell surface temperature at the onset of thermal runaway.	See attachment 4	Pass		
8.2.7	The module shall be placed on top of a noncombustible horizontal surface.	Module orientation as intended for final installation	Pass		
8.2.8	The chemical heat release rate of the module in thermal runaway shall be measured with oxygen consumption calorimetry system.	See attachment 5	Pass		
8.2.9	The chemical heat release rate shall be measured for the duration of the test.	See attachment 5	Pass		
8.2.10	The chemical heat release rate shall be measured by a measurement system consisting of a paramagnetic oxygen analyzer, non-dispersive infrared carbon dioxide and carbon monoxide analyzer, velocity probe, and a Type K thermocouple.		Pass		
8.2.11	Chemical heat release rate is calculate at each of the flows as follows: $HRR_t = \left[E \times \varphi - (E_{CO} - E) \times \frac{1 - \varphi}{2} \times \frac{X_{CO}}{X_{O_2}}\right] \times \frac{\dot{m_o}}{1 + \varphi \times (\alpha - 1)} \times \frac{M_{O_2}}{M_o} \times (1 - X_{H_2O}^*) \times X_{O_2}^*$	See attachment 5	Pass		
8.2.12	(Corrected by UL CRD-20200520) The hydrocarbon content of the vent gas shall be measured using flame ionization detection. Hydrogen gas shall be measured with a palladium-nickel thin-film solid state sensor	Three different kind of sensors were used. H ₂ was not detected by the palladium-nickel thin-film solid state sensor and heat conduction sensor. The value in attachment 6 was measured by electrochemistry sensor.	Pass		
8.2.13	(Corrected by UL CRD-20200520) The hydrocarbon components of the vent gas composition may additionally be measured using a Fourier-Transform Infrared Spectrometer with a minimum resolution of 1 cm-1 and a path length of at least 2 m (6.6 ft), or an equivalent gas analyzer, Velocity and temperature measurements respectively shall be obtained in the exhaust duct of the heat release rate calorimeter using equipment specified in 8.2.10.	See attachment 6	Pass		
8.2.14	The light transmission in the exhaust duct of the heat release rate calorimeter shall be measured using a white light source and photo detector for the duration of the test.	Light transmission is integerated into the testing system	Pass		



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ANSI/CAN/UL 9540A Clause Requirement – Test Result - Remark Verdict Smoke release rate shall be calculated as follows: 8.2.15 $SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_o}{I}\right)$ See attachment 7 **Pass** 8.3 Module level test report 8.3.1 The report on module level testing shall include the following: **Pass** Module manufacturer name and model number **Pass** See module information. (and whether UL 1973 compliant); Pass b) Number of cells in module; 16 16S1P **Pass** Module configuration with cells in series and parallel; See module information d) Module construction features per 5.2; See module information **Pass** Module voltage corresponding to the tested SOC See Attachment 3 **Pass** e) Thermal runaway initiation method was used **Pass** including number and locations of cells for initiating See Attachment 3 thermal runaway; Heat release rate versus time data: **Pass** See Attachment 5 g) See Attachment 6 **Pass** h) Flammable gas generation and composition data; i) Peak smoke release rate and total smoke release **Pass** See Attachment 7 data. Observation(s) of flying debris or explosive **Pass** See Attachment 4 discharge of gases; Observation(s) of sparks, electrical arcs, or other **Pass** See Attachment 4 electrical events; Identification/location of cells(s) that exhibited Pass I) See Attachment 4 thermal runaway within the module; m) Locations and visual estimations of flame **Pass** extension and duration from the module shall be See Attachment 4 documented: Module weight loss based on measurements per **Pass** 7.6 kg 8.2.3; **Pass** Video of the test. 20221208-1.mp4 is provided



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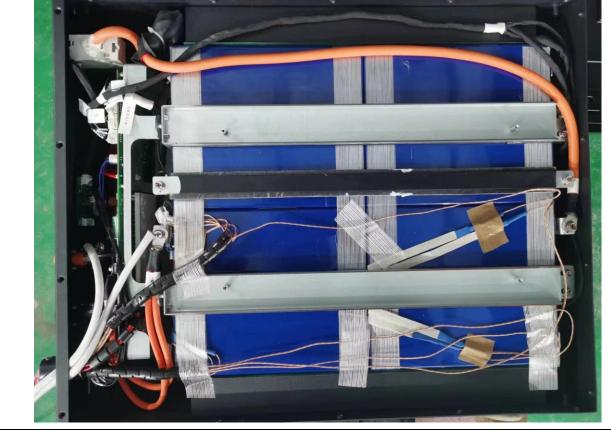




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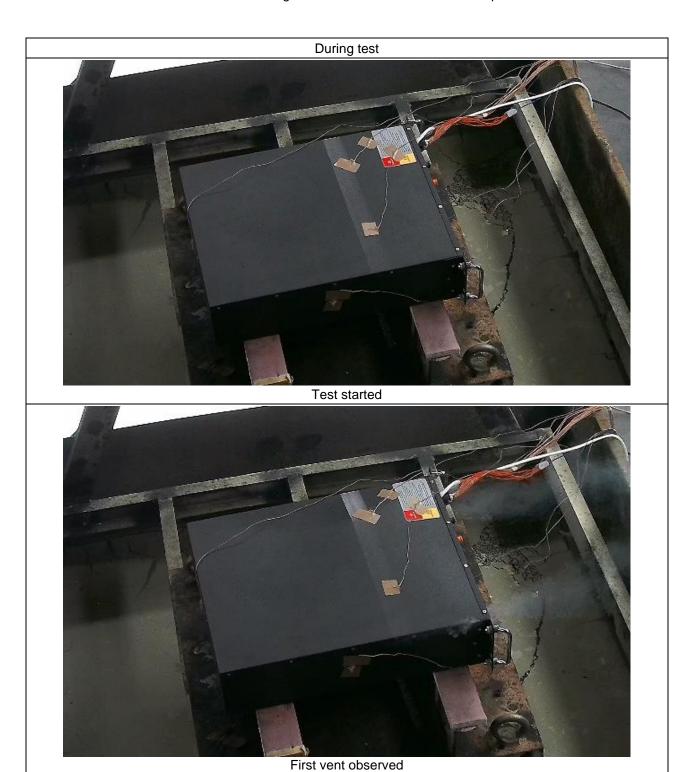




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Thermal runaway observed

After test





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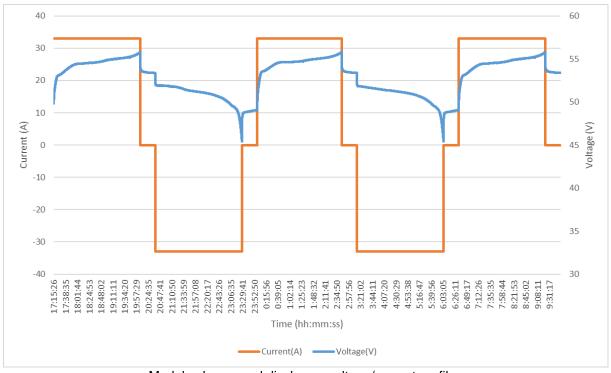
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Attachment 2 Module Conditioning (charge/discharge) profiles

The module was conditioned, prior to testing, through charge and discharge cycles for 2 cycles using a manufacturer specified methodology to verify that the module is functional.

As manufacturer specified, the module was charged with 33A current to module end charge voltage 56.16 V, then keep the module stabilized for 30 minutes. After being stabilized, the module was discharged with 33A current to module end discharge voltage 44.8 V, then keep the module stabilized for 30 minutes.

After repeat the cycle above twice and then module was fully charged with 33A current to module end charge voltage 56.16 V, and before testing, the module was stabilized for about 3 hours. During conditioning the ambient temperature was maintained in 25 ±5°C and 50 ±25% RH.



Module charge and discharge voltage/current profiles

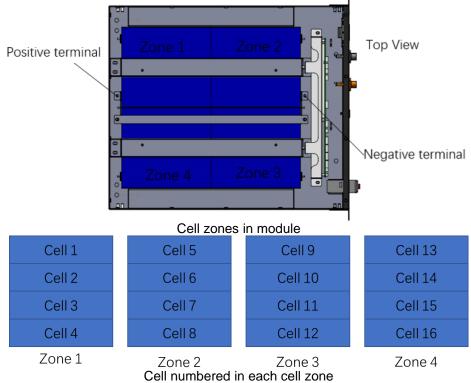


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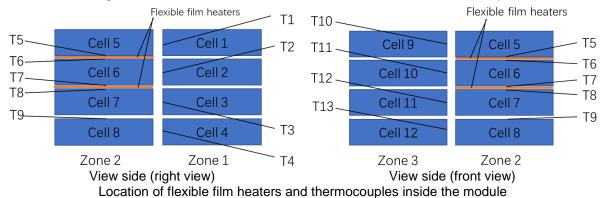
Attachment 3 Module thermal runaway record

There are 4 cell zones (zone 1 to zone 4) in module, every cell zone consists of 4 cells, the cell numbered in each cell zone is shown in below figure.



External heating method was used to initiate thermal runaway in the module. 2 flexible film heaters, rated 220VAC/500W, sized 170*200mm, were pasted on big sides of cell 6.

To monitor the cells temperature inside the module, 13 thermocouples, Type K, were used inside the module. See below figure and table for detail location of the film heaters and thermocouples.

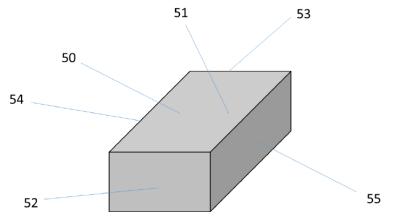


Thermocouple No.	Location
T1-T4	Center of bottom narrow side of Cell 1 to Cell 4, facing Cell 5 to Cell 8
T5	Center of wide side of Cell 5, facing Cell 6.
T6	Center of wide side of Cell 6, facing Cell 5, under film heater.
T7	Center of wide side of Cell 6, facing Cell 7, under film heater.
T8	Center of wide side of Cell 7, facing Cell 6.
T9	Center of wide side of Cell 8, facing Cell 7.
T10-T13	Center of narrow side of Cell 9 to Cell 12, facing Cell 5 to Cell 8



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Additional 6 thermocouples, Type K, were located on the surface of module. See below table for detailed location of thermocouple.



location of thermocouples on module enclosure

Thermocouple No.	Location
50	Enclosure top side, correspond to center of cell zone 3
51	Enclosure top side, correspond to center of cell zone 2
52	Centre of enclosure front side
53	Centre of enclosure back side
54	Centre of enclosure left side
55	Centre of enclosure right side



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Cell 6 was heated as the target cell at a rate of $4^{\circ}\text{C-}7^{\circ}\text{C}$ per minute until thermal runaway was occurred. Below table summarizes the details:

Ambient conditions at the initiation of the test:	25.1°C 35.1%RH			
Module voltage before test:	53.27 V			
Module voltage after test:	40.05 V			
Module weight before test	48.1 kg (with	test auxiliary materia	l)	
Time when test was initiated:	2022.12.08 13:12			
	1st vented	14:02	1st thermal runaway	14:03
	2 nd vented	14:03	2 nd thermal runaway	14:09
	3 rd vented	14:13	3 rd thermal runaway	14:13
Observations during test:	4 th vented	14:25	4 th thermal runaway	Not observed
	5 th vented	Not observed	5 th thermal runaway	
	No flying debris or explosive discharge of gases. No sparks, electrical arcs, or other electrical events. No external flaming was observed			
Post-test evaluation:	Cell 6 went to thermal runaway due to external heating. Cell 5, cell 7 vented and went to thermal runaway due to thermal runaway propagation. Cell 8 vented due to thermal runaway propagation.			
Module weight after test	40.5 kg (with test auxiliary material)			
Module weight loss	7.6 kg			

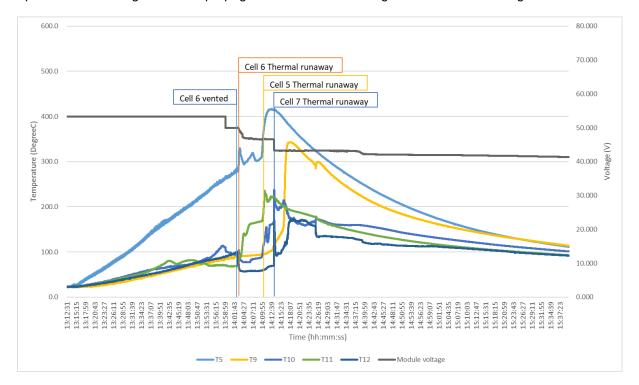


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Attachment 4 Temperature and voltage profile during test

Temperature describing cell to cell propagation and module voltage are show in below figure





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Measured temperature inside the module and module voltage during the test are shown in below figure.



Thermocouple No.	Location	Maximum measured temperature (°C)
T1	Center of bottom narrow side of Cell 1, facing Cell 5.	255.1
T2	Center of bottom narrow side of Cell 2, facing Cell 6.	127.3
T3	Center of bottom narrow side of Cell 3, facing Cell 7	241.1
T4	Center of bottom narrow side of Cell 4, facing Cell 8.	88.5



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Measured temperature inside the module and module voltage during the test are shown in below figure. Thermocouple No. T7 and T8 were broken during test and hence not shown in below figure.



Thermocouple No.	Location	Maximum measured temperature (°C)
T5	Center of wide side of Cell 5, facing Cell 6.	416.4
T6	Center of wide side of Cell 6, facing Cell 5, under film heater.	424.5
T7	Center of wide side of Cell 6, facing Cell 7, under film heater.	Damaged
T8	Center of wide side of Cell 7, facing Cell 6.	Damaged
Т9	Center of wide side of Cell 8, facing Cell 7.	342.8



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Measured temperature inside the module and module voltage during the test is shown in below figure.



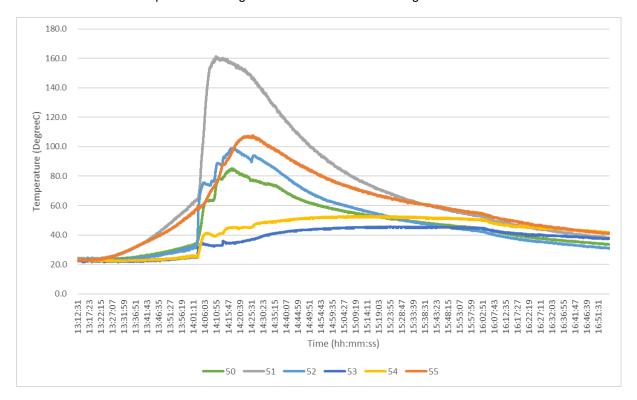
Thermocouple No.	Location	Maximum measured temperature (°C)
T10	Center of narrow side of Cell 9, facing Cell 5	237.8
T11	Center of narrow side of Cell 10, facing Cell 6	236.2
T12	Center of narrow side of Cell 11, facing Cell 7	172.8
T13	Center of narrow side of Cell 12, facing Cell 8	105.4



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The module enclosure temperature during the test is shown in below figure.



Thermocouple No.	Location	Maximum measured temperature (°C)
50	Enclosure top side, correspond to center of cell zone 3	85.2
51	Enclosure top side, correspond to center of cell zone 2	161.3
52	Centre of enclosure front side	98.8
53	Centre of enclosure back side	45.7
54	Centre of enclosure left side	52.9
55	Centre of enclosure right side	107.6



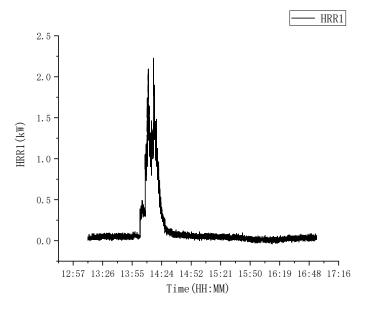
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Attachment 5 Chemical heat release rate measurement

The chemical heat release rate was measured by a measurement system consisting of a paramagnetic oxygen analyser, non-dispersive infrared carbon dioxide and carbon monoxide analyser, velocity probe, and a Type K thermocouple. The instrumentation was located in the exhaust duct of the heat release rate calorimeter at a location that minimizes the influence of bends or exhaust devices.

Measured peak chemical heat release rate HRR=2.23 kW



HRR Curve



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Attachment 6 Gas generation measurement

Vent gas compositions were measured using a Fourier-Transform Infrared Spectrometer within the calorimeter's exhaust duct. And the composition, velocity and temperature of the vent gases were measured within the calorimeter's exhaust duct.

The hydrocarbon content of the vent gas was measured using flame ionization detection.

The hydrogen content was measured with a palladium-nickel thin-film solid state sensor, a heat conduction sensor and an electrochemistry sensor. The hydrogen was not detected by the palladium-nickel thin-film solid state sensor and heat conduction sensor. The value in below table was measured by electrochemistry sensor.

The gas composition and volume are shown in below table

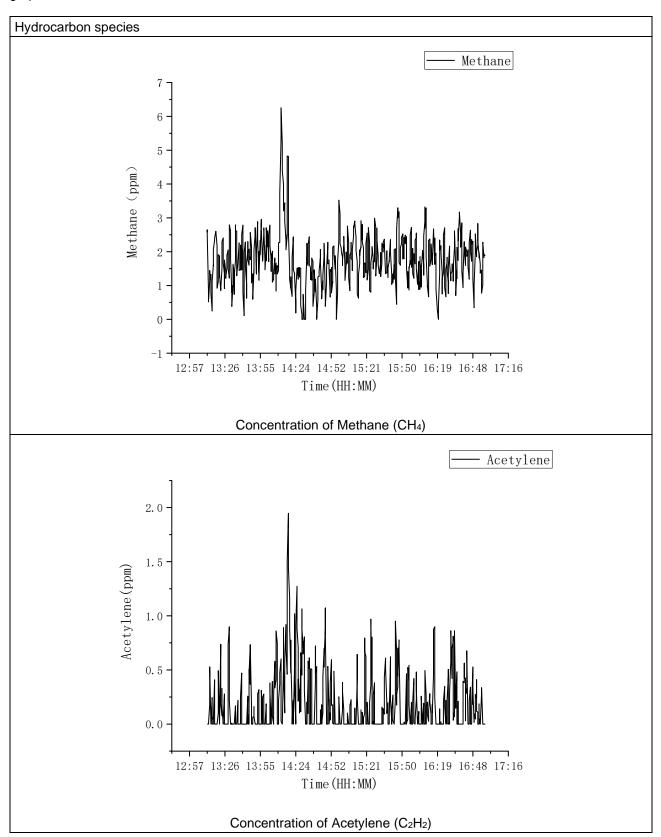
Gas type	Gas components		Volume (L)
	Methane	CH ₄	16.7
	Acetylene	C ₂ H ₂	1.8
	Ethylene	C ₂ H ₄	6.1
Hydrocarbon species	Ethane	C ₂ H ₆	4.3
	Propylene	C ₃ H ₆	7.2
	Propane	C ₃ H ₈	4.4
Hydrogen halide species Hydrogen Fluoride HF		HF	9.4
Nitrogen containing species		NO	4.7
	Carbon Monoxide	CO	6.3
	Carbon Dioxide	CO ₂	22.8
Other species	Hydrogen (Palladium nickel thin film solid state sensor)	H ₂	0
	Hydrogen (TCD sensor)	H ₂	0
	Hydrogen (Electrochemical sensor)	H ₂	181.1
Total Hydrocarbons (equivalent to C ₃ H ₈ , measured by FID)			



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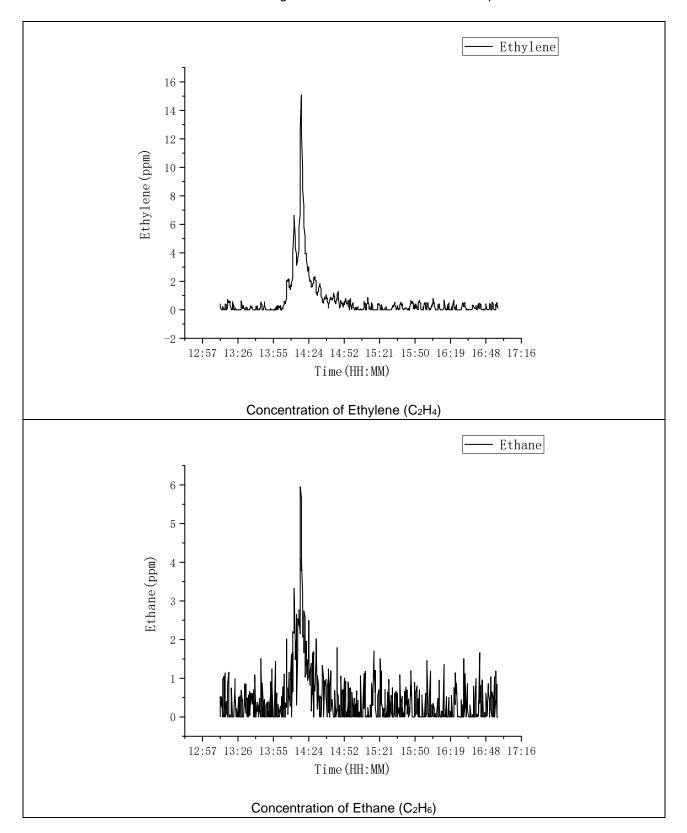
Concentration of different gas components according to gas species classification was displayed as following graphs





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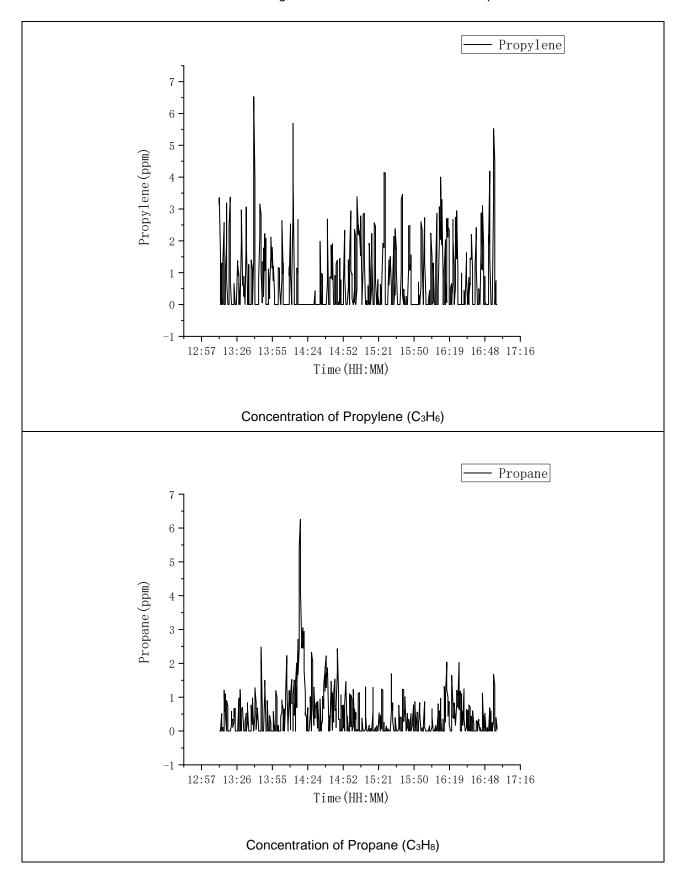
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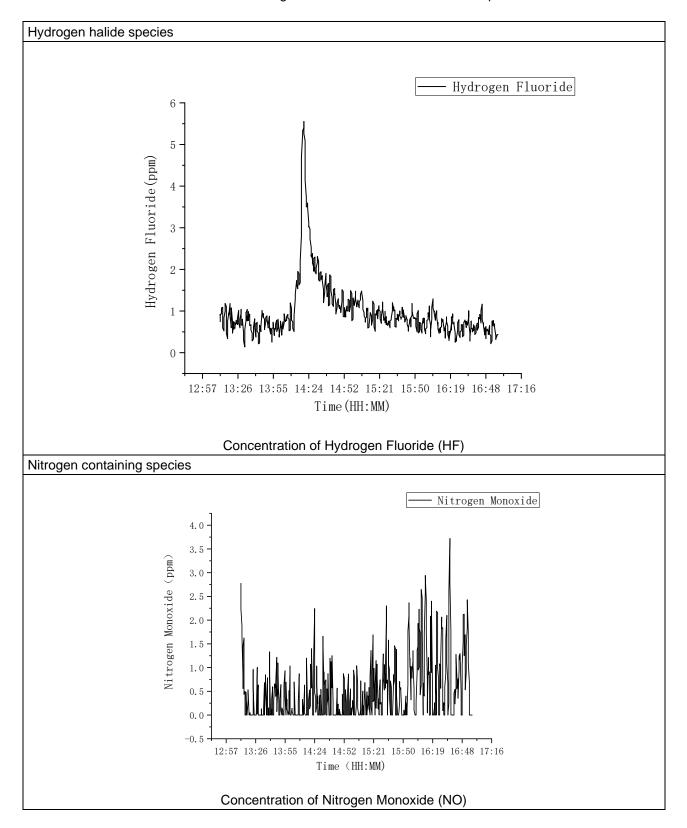




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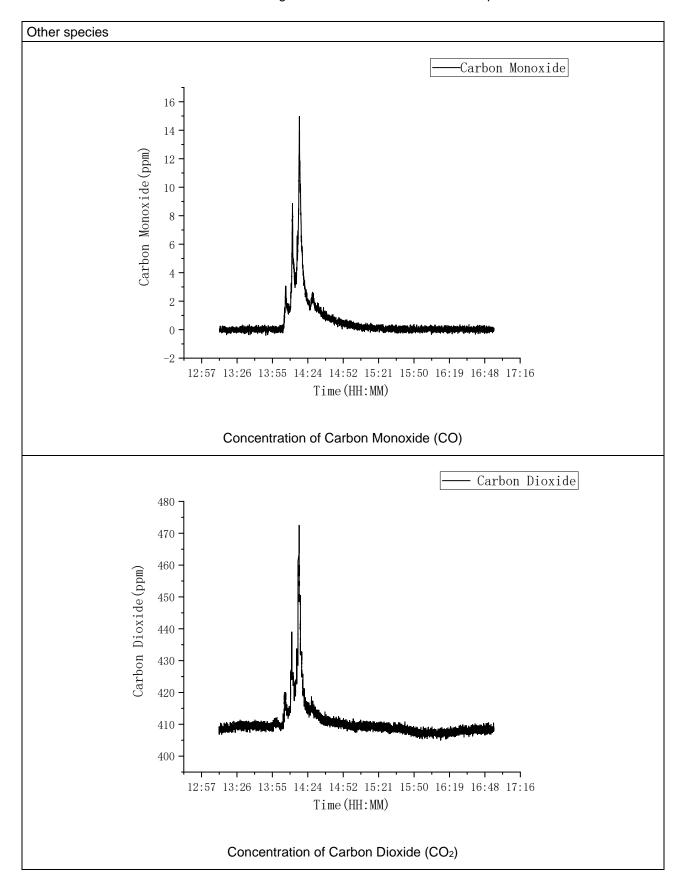
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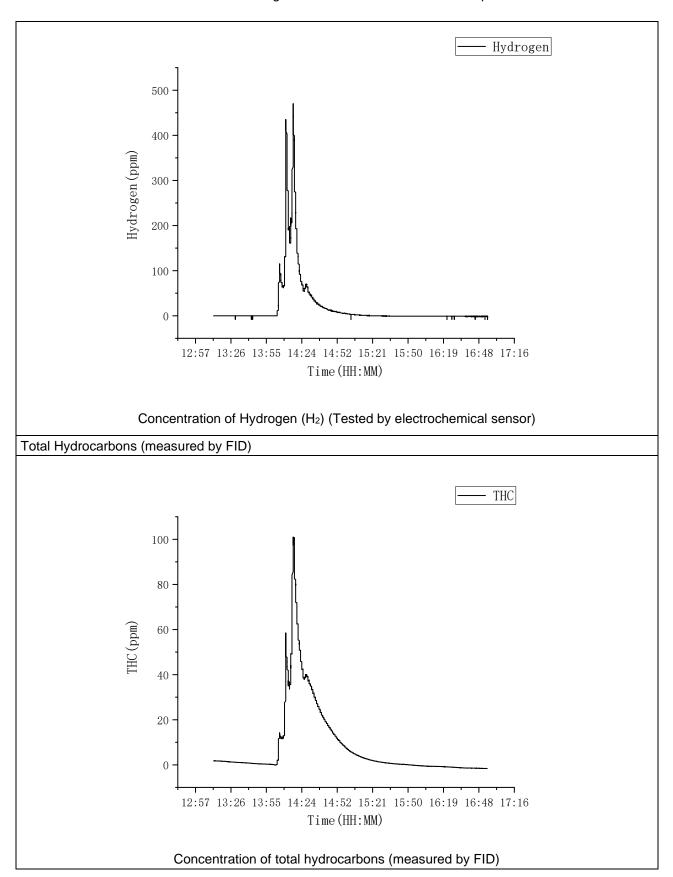




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Attachment 7 Smoke release rate measurement

Smoke release rate shall be calculated as follows:

$$SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_o}{I}\right)$$

Where:

SRR = Smoke release rate (m²/s)

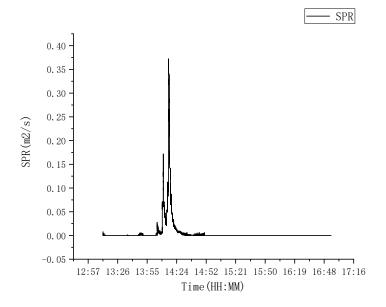
V = Volumetric exhaust duct flow rate (m³/s)

D = duct diameter (m)

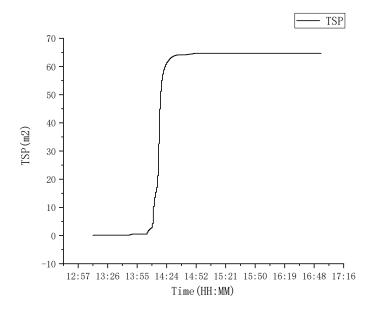
Io = Light transmission signal of clear (pre-test) beam (V)

I = Light transmission signal during test (V)

Measured peak smoke release rate SRR: 0.37 m²/s Measured total smoke release rate TSR: 64.67 m²



SRR curve



TSR curve



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Attachment 8 Equipment list

No.	Equipment		Model	Rating	Inventory no.	Last Cal. date
1	Ambient monitor		WSB-2-H1	0-40°C 10-90%RH	S-044	2022.02.25
2	Digital multi-me	eter	FLUKE101	0-600V	S-038	2022.02.23
3	Tape		1000mm 5000mm	0-1000mm 0-5000mm	S-040 S-042	2022.03.14 2022.03.14
4	Electronic scale	е	TCS-500	0-500kg	S-039	2022.02.23
5	Charge /discha	urge equipment	MRTS-DC-3869- 250	800V, 600A	0221-055	2022.08.10
6	Heating control	l equipment	DTB4824	0-1000°C	S-046-2	2022.07.19
7	Data acquisition equipment		ADAM-4117 ADAM-4118 MT4W DTM	0-10V 0-1000°C 0-100V 0-1000°C	S-028-1 S-028-2 S-030-5~8 S-029	2022.02.23 2022.02.23 2022.07.11 2022.02.23
	Oxygen	Paramagnetic oxygen analyzer CO and CO2 sensor	ABB AO2020	O2: 0-21% CO2:0-10% CO:0-1%	S-062-5~7	2022.08.11
		Micro- differential pressure transmitter	DP101MD	-100~100Pa	S-024-4	2022.02.23
8	consumption calorimeter measurement	Thermopile	TT I 20-CAXL-I I 6U-10-SPW-M	0-1000°C	S-028-5~7	2022.02.26
	system	Light filter		25%, 50%, 75%	S-024-6 S-024-7 S-024-8	2022.03.07
		Gas mass flowmeter	Sevenstar D07-60G	0-8g/s	S-024-9	2022.03.29
9	Palladium-nickel thin-film solid state sensor		710B Model5000	1000ppm-100% 0-4%	S-023-5 S-023-2	2022.03.01
10	Hydrogen sensor (TCD)		ABB AO2020	0-4%	S-62~8	2022.03.01
11	Electrochemical hydrogen sensors		H ₂ 40000 H ₂ 1000	0-4% 0-0.1%	S-023-3~4	2022.03.01
12	Fourier-Transform Infrared Spectrometer		MG6000	0.01ppm-100%	S-019	2022.03.01
13	Flame Ionization Detector		ABB AO2020	0-30000ppm	S-062~10	2022.08.11

----- End of test report -----